

# Chemical Product Design:

*What Is it? Why is it important?  
How is it done?*

**Michael Hill**  
**Columbia University**

**Kevin Joback**  
**Molecular Knowledge Systems**



**COLUMBIA | ENGINEERING**  
The Fu Foundation School of Engineering and Applied Science









# Commodities vs. Products

---

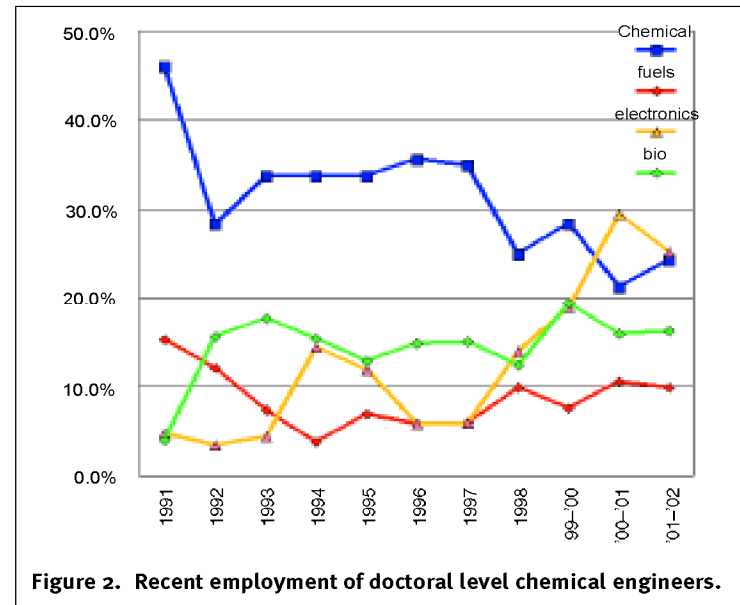
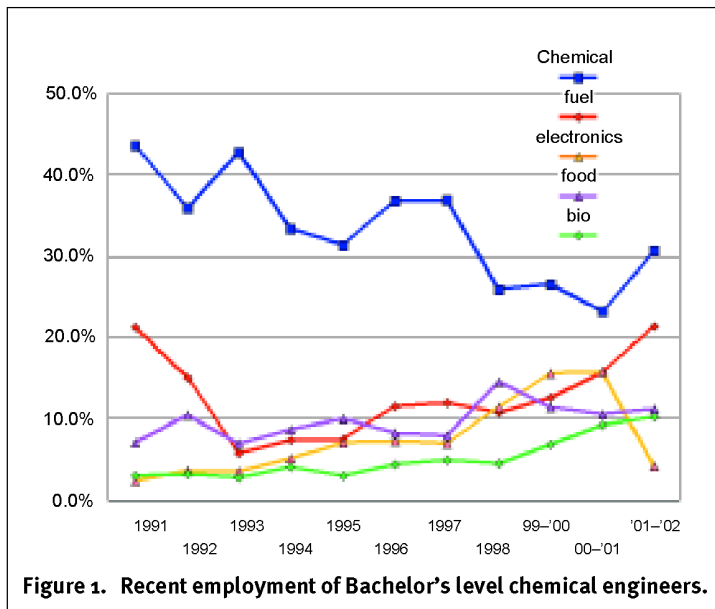
## **Commodities**

- “ Compositional spec
- “ Generic
- “ Low margin
- “ High volume
- “ Process-centered

## **Products**

- “ Performance spec
- “ Differentiated
- “ High margin
- “ Often low volume
- “ Product-centered

# Trend Affecting ChE Employment



Cussler & Wei, *AIChE J*, **49** 1073

**Recent graduates continue to be hired by businesses producing:**

- “ performance chemicals
- “ non-durable consumer goods
- “ formulated pharmaceuticals
- “ semi-conductor products
- “ etc. etc. etc.

# A Shift From Our Traditional Role

---

- “ Chemical engineering community traditionally responsible for process development and manufacturing
- “ Left product design/development to the chemists, who “threw product over the wall”
- “ Chemical engineers tracked product purity and costs; other product issues considered irrelevant

# How are Chemical Products Developed?

---

- “ Industrial approach has been intuitive/experimental
  - Experienced product developer draws on vast knowledge of previous formulations/properties
  - Multivariate trade-offs determined through trial-and-error experimentation
  - Inefficient and resource intensive, typically involving hundreds of prototypes
- “ Process typically developed after formulation
  - Ignores large impact process conditions can have on product properties
  - Resulted in sub-optimal products

# Accelerating Product Development

---

## 1. Design of Experiments (DOE)

- “ Factorial experimentation
- “ Statistical analysis of variance
- “ Raises experimental efficiency, but impractical to include more than several variables at time
- “ Best suited for narrowly defined problems



# Accelerating Product Development

---

## 2. High-throughput experimentation (HTE)

- “ Robotics
- “ Combinatorial chemistry
- “ Parallelization

*Is this sufficient?*

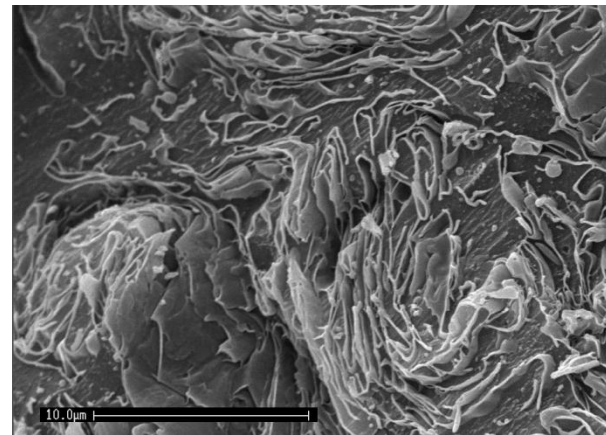
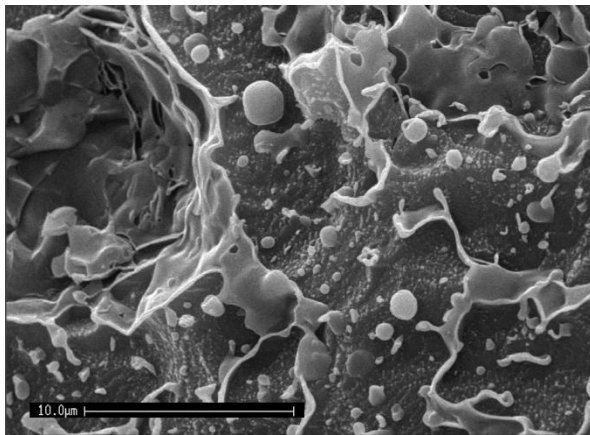


Courtesy of CCRI, University of Ottawa

# What Determines Product Properties?

---

Physico-chemical properties of components  
*and*  
Microstructure, which is influenced by process history



Cryo-SEM micrographs of a lamellar structured hair conditioner manufactured under low deformation rates (left) and high deformation rates (right). Although having the identical formulation, viscosities differ by order of magnitude. (Edwards, *ICHEM NWBP* 9, 1998)

# And the Combinatorial Explosion

---

Consider a typical mass market hand cream:

## Formulation Decisions

Water (1)	1
Emollients (select 2 of 10)	$10^9$
Surfactants (select 2 of 10)	$10^9$
Humectants (select 1 of 6)	6
Polymers (select 2 of 10)	$10^9$
Active/emotive (select 1 of 3)	3
Fragrance (1)	1
Preservative (1)	1

## Processing Decisions:

Order of Addition	11!
Operational decisions (after each ingredient)	
Change temp?	$2^{11}$
High sheer?	$2^{10}$
Emulsification configurations (2 stages)	
Equipment selection (2 of 20)	$20^2$
Bypass?	2
Recycle?	2

**$10^{23}$  alternatives**

# Accelerating Product Development

---

- “ High Throughput Experimentation is not the ultimate answer
  - Parallelization raises experimental efficiency
  - Yet infeasible to generate *massively* parallel alternatives
  - Impractical to replicate process conditions at the scale of typical HTE operation

*How do we deal with the combinatorial explosion?*

# Analogous to Process Design

---

- “ Process designer needs to choose from  $10^9$  potential designs
  - . Systematically identifies and eliminates inferior designs
  - . Eventually one or two designs proven by experimentation in pilot plant
- “ Product designer needs systematic way to identify product designs while minimizing experimentation

# Replace Traditional Product Dev't?

---

- “ Becomes first phase of Product Development
- “ Product design should specify a small set of formulations likely to meet requirements, confirmed or refined through experimentation
- “ Allows experimental program of chemical product development to be more focused



# Chem Engineers Should Be Good at This

---

- “ Designing a product is not just about knowing physical properties of compounds and mixtures
  - When product is used, often subjected to stresses, temperature and concentration gradients, etc.
  - Behavior often controlled by transport phenomena, reaction kinetics, thermodynamics: “a process”
  - A good product designer understands same principles as good process designers
- “ Same fundamental knowledge base needed to understand product manufacture can help in designing products

# Similarities & Differences

---

## **Process Design**

- Product is specified but process is not
- Search technique to select among process alternatives
- Focus on models of manufacturing process
- Goal is lowest cost manufacturing process

## **Product Design**

- Neither product nor process is specified
- Search technique to select among product alternatives
- Focus on models of product properties
- Goal is added value through enhanced product properties

# Product Design Algorithm

---

Cussler & Moggridge\*:

1. Identify customer needs
2. Generate ideas to meet those needs
3. Select among the ideas
4. Manufacture the product

\* *Chemical Product Design*, 2<sup>nd</sup> Ed (2011)

# The Devil is in the Detail

---

- “ Creativity techniques can help, but need more than just brainstorming and selection
- “ Need a generic methodology to systematically transform each novel approach into set of product alternatives, and quantitative analysis of those alternatives

# Designing Homogeneous Products

---

- “ Properties result solely from components and not a product microstructure generated during processing
- “ Product and process can be designed sequentially rather than simultaneously

# Aircraft Deicing Fluid





# What must this product do?

- “ Melt ice under ambient conditions
- “ Liquid of appropriate viscosity
- “ Adequate wetting/spreading
- “ Non-corrosive
- “ Biodegrade at acceptable rate
- “ etc.

# What Basic Mechanism?

“ Heat generation?

*Open system; likely refreeze*

“ Freezing point depression?

*If depression is sufficient*

“ Prevent ice from sticking?

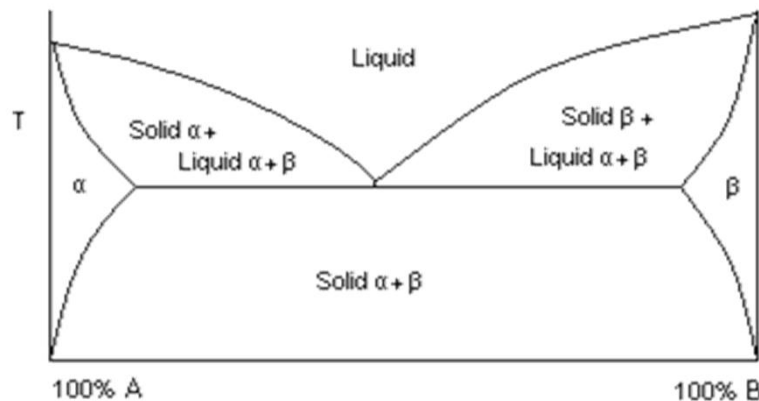
*Sufficient by itself?*

# Design Strategy

- “ Select a freezing point depressant with acceptable biodegradation rate as main component
- “ Viscosity, wetting/spreading and non-corrosion assured through additives

# Use of Physical Property Models

“ Model for fp depression (eutectic behavior)



$$\ln \frac{1}{\gamma_i x_i} = \frac{\Delta h_i^f}{RT_{m_i}} \left( \frac{T_{m_i}}{T} - 1 \right)$$

“ Models for biodegradation rate and oxygen demand

“ Search for acceptable candidates

# Structured Chemical Products

---

Complex, multiphase materials with properties determined by physico-chemical properties of components *and* product microstructure



- “ *Emulsions*
- “ *Granulated powders*
- “ *Compressed powders*
- “ *Extruded solids*
- “ *Suspensions*
- “ *Other complex fluids*



# Designing Structured Products

---

- “ Microstructure determined by interaction of components and manufacturing process
- “ Since microstructure influences properties, product and process must be designed simultaneously
- “ A generic design methodology should systematically generate alternatives, and quantitatively analyze those alternatives



# Available Techniques

---

## “ Heuristics

- . Systematically generate/eliminate alternatives
- . Good designs that are quickly found usually preferred over slow-to-find optimal solutions
- . Required when data are limited, e.g. early stages of design

## “ Mathematical Programming

- . Optimize set of all potential alternatives
- . Useful when sufficient data available

# Conclusions

---

- “ Chemical Product Design is the identification of a small set of formulations likely to meet performance specifications, and which can be confirmed or refined through experimentation
- “ Product Design can accelerate new product development well beyond capabilities of experimentation alone